IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Weber et al.

Docket: 1999DE133/C1/D

Serial No.: 10/807,634

Group Art Unit: 1626

Filed: 3/24/2004

Examiner: Saeed, Kamal A.

For: Pigment Dispersants Based on Diketopyrrolopyrrole Compounds and

Pigment Preparations

CERTIFICATION OF FACSIMILE TRANSMISSION

Mail Stop: Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office (Fax No.: 1-571-273-0705) on the date shown below:

- Terminal Disclaimer to Obviate a Provisional Double Patenting Rejection Over a Pending Second Application for 10/375,573) with Fee Transmittal Page (2 pages)
- Terminal Disclaimer to Obviate a Provisional Double Patenting Rejection Over a Pending Second Application for 10/375,668) with Fee Transmittal Page (2 pages)

Total Pages including Certification of Transmission: 5

Vicki L. Sgro:_

Date: May 12, 2005

PTO/SB/25 (08-03)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING	Docket Number (Optional)
REJECTION OVER A PENDING SECOND APPLICATION	1999DE133/C1/I
In re Application of: Weber, et al.	
Application No.: 10/807,634	
Filed: 3/24/2004	
For: Pigment Dispersants Based on Diketopyrrolopyrrole Compounds and Pigmer	nt Preparations
The owner*, <u>Clariant GmbH</u> , of <u>100</u> percent interest in the instant application provided below, the terminal part of the statutory term of any patent granted on the Instant applyond the expiration date of the full statutory term defined in 35 U.S.C. 154 and 173 a disclaimer filed prior to the grant of any patent granted on pending second Application Numfiled on <u>02/27/2003</u> , of any patent on the pending second application. The owner here granted on the Instant application shall be enforceable only for and during such period that it a second application are commonly owned. This agreement runs with any patent granted on binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of any application that would extend to the expiration date of the full statutory term as defined in 3 patent granted on the second application, as shortened by any terminal disclaimer filed prievent that any such granted patent: expires for failure to pay a maintenance fee, is held une a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed claims canceled by a reexamination certificate, is reissued, or is in any manner terminated patautory term as shortened by any terminal disclaimer filed prior to its grant.	plication, which would ex a shortened by any terriber 10/375.573 by agrees that any pater and any patert granted or the instant application are patent granted on the instant granted on the patent grant, inforceable, is found invalunder 37 CFR 1.321, he
Check either box 1 or 2 below, if appropriate.	
1. For submissions on behalf of an organization (e.g., corporation, partnership, universect.), the undersigned is empowered to act on behalf of the organization.	ersity, government agency
I hereby declare that all statements made herein of my own knowledge are true an information and belief are believed to be true; and further that these statements were made false statements and the like so made are punishable by fine or imprisonment, or both, und the United States Code and that such willful false statements may jeopardize the validity of Issued thereon.	with the knowledge that v ler Section 1001 of Title
2. X The undersigned is an attorney or agent of record.	
Signature	5/17/05 bate
Anthony	A. Bisulca
Typed or pri	nted name
(704) 3	31-7151
	e Number
X Terminal disclaimer fee under 37 CFR 1.20(d) is included.	
WARNING: Information on this form may become public. Credit card information be included on this form. Provide credit card information and authorization (ation should not on PTO-2038.
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This collection of information is required by 37 CFR 1.321. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patenta, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (12-04v2)

Approved for use timough 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Panerwork Reduction Act of 1995, no nersons are required to respond to a collection of information unless the valid OMB control number.							
Effective on 12/08/2004. Complete if Known							
Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).				Application Nu	mber 1	0/807,634	
FEE	TRAN	SM1	IAL	Filing Date	0	3/24/2004	_
	For FY	2005		First Named In	ventor V	Veber, et al.	
[]			<u></u>	Examiner Nam	ie K	amal A. Saee	:d
Applicant cla	ims small entity st			Art Unit	1	626	
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Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	
2. EXCESS CL Fee Description						Fee (\$)	Small Entity Fee (\$)
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3. APPLICATION	ON SIZE FEE		00 7			11 61 - 3	
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50							
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sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)							
100 =/50 =(round up to a whole number) × <u>250.00</u> = <u>0.00</u>							
4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Fees Pald (\$)							
Other (e.g., late filing surcharge): Statutory (Terminal) Disclaimer over pending application 130.00							
SUBMITTED BY							
Signature	_dthou	<u> </u>	sulen	Registration No (Attorney/Agent)	40,	913 Telepi	none 704-331-7151

1	SUBMITTED BY						
I	Signature	Athony A. By	lin	Registration No. (Attorney/Agent)	40,913	Telephone	704-331-7151
ļ	Name (Print/Type)	Anthony A. Bisulca				Date	May 12, 2005

This collection of information is required by 37 CFR 1,136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,14. This collection is estimated to take 50 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING	Docket Number (Optional)				
REJECTION OVER A PENDING SECOND APPLICATION	1999DE133/C1/D				
	1999DE(33/C)/D				
In re Application of: Weber, et al.					
Application No.: 10/807,634	1				
Filed: 3/24/2004					
For Pigment Dispersants Based on Diketopyrrolopyrrole Compounds and Pigmen	t Preparations				
The owner*, <u>Clariant GmbH</u> , of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend disclaimer filed prior to the grant of any patent granted on pending second Application Number 10/375,668 , of any patent on the pending second application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the second application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent granted on the second application, as shortened by any terminal disclaimer filed prior to the patent grant, in the event that any such granted patent: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.					
Check either box 1 or 2 below, if appropriate.					
4					
1. For submissions on behalf of an organization (e.g., corporation, partnership, university), the undersigned is empowered to act on behalf of the organization.	rsity, government agency,				
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
2. X The undersigned is an attorney or agent of record.					
Signature Signature	5/12/05 Pate				
Anthony A	A. Bisulca				
Typed or prin	ted пате				
(704) 33					
Telephone	Number				
X Terminal disclaimer fee under 37 CFR 1.20(d) is included.	•				
WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner Form PTO/SB/96 may be used for making this statement. See MPEP § 324.					
his collection of Information is required by 37 CFR 1.321. The information is required to obtain or retain a banefit by the	public which is to file (and by the USPTO				

to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patertl and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Panagwork Reduction Act of 1995 no parsons are required to respond to a collection of information unless it displays a valid QMB control number.

FEE TRANSMITTAL For FY 2005 Applicant claims small entity status. See 37 CFR 1.27 Applicant claims small entity status. See 37 CFR 1.27 Applicant claims small entity status. See 37 CFR 1.27 Art Unit 1626 TOTAL AMOUNT OF PAYMENT (S) Attumey Docket No. 1999DE133-C1-D METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account, the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Charge fee(s) indicated below. Deposit Account, the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the Director is hereby authorized to (check all that apply) Charge fee(s) indicated below. Account (the director is hereby authorized (check all that apply) Charge fee(s) indicated below. Account (the director is hereby authorized (check all that apply) Charge fee(s) indicated below. Account (the director is hereby authorized (check all that apply) Charge fee(s) indicated below. Account (the director is hereby authorized (the director is hereby overpayments WARNING: International or that fee(s) indicated below. Account (the director is hereby overpayments or the fee(s) indicated b		ve on 12/08		Complete if Known					
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. FEE CALCULATION	X Charge fee(s)	indicated	below		Charge	fee(s)	indicated be	elow, exce	pt for the filing fee
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Filling FEES Small Entity Small Entity Small Entity Fee (\$) Fee	1. BASIC FILING, SEAF	RCH. AND	EXAMINATION FE	ES					•
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Indept. Claims -3 or MP = x = 0.00 HP = highest number of independent claims paid for, if greater than 5. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof -100 = /50 = (round up to a whole number) x 250.00 = 0.00 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Statutory (Terminal) Disclaimer over pending application Registration No. (Attorney/Agent) Registration No. (Attorney/Agent) Registration No. (Attorney/Agent) Telephone 704-331-7151					0.00		ᆮ	80 (2)	P96 Paid (3)
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INSTRUCTION FROM A STRANT A SERVICA				(^	(TOMEY/Agent)		,	Date	May 12, 2005

This collection of Information is required by S7 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any commenta on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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